

## Nikkei Reduced Commission Campaign

### TERMS AND CONDITIONS

Phillip Nova Pte. Ltd. (“**PNPL**”) is offering commission promotion on selected Nikkei 225 Futures. By registering / participating in the Campaign, you agree to be bound by the Terms and Conditions (“**Terms**”) and any such variation in which PNPL, in its sole discretion, will continue to advise on its [Website](#). PNPL is regulated by the Monetary Authority of Singapore.

As part of the Campaign, PNPL will offer reduced commission to each individual (“**Eligible Client**”) who is able to satisfy the requirement listed in Eligibility Criteria through this Campaign.

#### Campaign Period

- PNPL will commence the Campaign from 10<sup>th</sup> June 2024 to 30<sup>th</sup> March 2025 (both dates inclusive), or such other period(s) as may be determined by PNPL from time to time.

#### Eligibility Criteria

- The Campaign is applicable to clients who has respectively satisfied **all** the relevant requirements:

##### i. Eligibility Criteria for Commission Promotion

Step	Requirements		
1	Any client who has a valid live trading account with PNPL (“ <b>Trading Account</b> ”).		
2	<b>Reduced Commission Rates for Nikkei Products</b>		
	<b>Nikkei Product</b>	<b>Reduced Commission</b>	<b>Eligible Period</b>
	<b>SGX Nikkei 225 Index Futures (Comm code: NK)</b>	<b>USD0.50</b>	10 <sup>th</sup> June 2024 – 30 <sup>th</sup> March 2025
	<b>JPX Nikkei 225 Mini (Comm code: MININK)</b>	<b>USD0.50</b>	10 <sup>th</sup> September 2024 – 30 <sup>th</sup> March 2025
	<b>JPX Nikkei 225 Micro Futures (Comm code: MICRONK)</b>	<b>USD0.10</b>	10 <sup>th</sup> September 2024 – 30 <sup>th</sup> March 2025
	<b>CME Micro Nikkei (JPY) Futures (Comm code: MNI)</b>	<b>USD0.50</b>	28 <sup>th</sup> October 2024 – 30 <sup>th</sup> March 2025
	<b>CME Micro Nikkei (USD) Futures (Comm Code: MNK)</b>	<b>USD0.50</b>	28 <sup>th</sup> October 2024 – 30 <sup>th</sup> March 2025
<p><i>*Reduced commission of the specific amount or equivalent (excluding exchange fees and GST) applies exclusively to those clients who use Phillip Nova platform (ie. self-execution online trade) during the Eligible Period.</i></p> <p><i>*Details of reduced commission rates and any specific trading volume requirements will be outlined in the campaign mechanics. Platform fees and market data fees may apply when using certain platform/system. To clarify the fees, kindly contact your own Account Manager and/or email us at <a href="mailto:nova@phillip.com.sg">nova@phillip.com.sg</a></i></p>			

ii. Eligibility Criteria for Fly to Japan Promotion

Step	Requirements for Eligible Client
1	A client who holds a valid live individual trading account with PNPL (“ <b>Trading Account</b> ”) <b>AND</b> is not listed as a Non-Eligible Client (refer to Clause 4 below).  For <b>new client</b> , kindly complete the account opening process via this link <a href="#">here</a>

- (a) Client shall meet all applicable onboarding requirements, including but not limited to KYC and AML requirements (if any). For the avoidance of doubt, PNPL has sole and absolute discretion to approve or reject or revoke any account opening application / Trading Account without providing any reason, notice or assuming any liability to any person.
  - (b) Client must maintain valid and operating (ie. not closed/suspended) Trading Account throughout the entire Campaign Period.
3. PNPL reserves the right to refuse to issue incentive to those who categorised as a **Non-Eligible Client** and not eligible to participate in the **Campaign** as follows:
- (a) A sole proprietorship, company, partnership and any entity that constitute as Corporate;
  - (b) Any PNPL’s Employees and/or its Immediate Family Members;
  - (c) All agencies and/or service providers engaged by PNPL (including but not limited to advertising agencies, promotions agencies, printing companies, event management agencies and any persons assisting or who are involved in the Campaign) and their Employees and Immediate Family Members.

**Campaign Mechanics**

4. This Campaign applies exclusively to trade any of the following contract (“**Nikkei Product**”) during the Eligible Period:

Nikkei Product	Comm Code
SGX Nikkei 225 Index Futures	NK
JPX Nikkei 225 Mini	MININK
JPX Nikkei 225 Micro Futures	MICRONK
CME Micro Nikkei (JPY) Futures	MNI
CME Micro Nikkei (USD) Futures	MNK

5. Each Trading Account (including sub-accounts) is eligible to participate as a participant / Eligible Client.
6. PNPL reserves all rights to disqualify any incomplete submissions or submissions that did not abide the Terms herein. PNPL further reserves the right to disqualify the participation in this Campaign if any participant: (a) has cheated or committed fraud on PNPL and/or this Campaign; (b) tampered or attempted to tamper with the participation process/operation of this Campaign; (c) manipulated or attempted to manipulate the operation of this Campaign; (d) conduct is in breach of the Terms including providing false information (such as fake accounts, personas or photos) or deliberately withholding information; or (e) has been blacklisted from previous participation for whatsoever reason.
7. If any Eligible Client is subsequently found to be ineligible for the Campaign for any reason, PNPL is entitled to at any time, (a) forfeit, withdraw or withhold the reward amounts; or (b) reclaim, clawback or deduct the reward amount from the trading account when it has been rewarded or utilised. In such an event, no person shall be entitled to any payment or compensation from PNPL.
8. PNPL reserves the sole discretion and rights in determination of the winners and prize. All decisions made by PNPL regarding any aspect of the Campaign, shall be final.

**Ownership & Rights**

9. By participating in the Campaign, participant grant PNPL with permission to publish/disclose some of its personal information (including but not limited to its name and photos, use of statements) on its marketing channels without any

payment and/or compensation, for promotional, advertising, marketing and/or publicity purposes (where not prohibited by written law). Participants agree to the collection, use and disclosure to third party service providers by PNPL, of any personal data provided by Participant to PNPL in conjunction with the Campaign, for such purposes as PNPL deems reasonably necessary for the administration and management of the Campaign.

10. Except where expressly stated, PNPL owns all the intellectual property rights in all the contents and design of the website, flyers, banners and other collaterals and any logos or trademarks displayed on the Website or any platform in connection to the Campaign. Participants may use the materials on the website, flyers, banners and other collaterals for its personal and non-commercial reference only. Participants may not reproduce, republish, distribute, translate, transmit, display, broadcast or otherwise exploit any materials on the website, flyers, banners and other collaterals, or use any logos or trademarks displayed on the website, flyers, banners and other collaterals, without the prior written consent of PNPL and/or relevant third-party owner of intellectual property rights.

#### **Limitation of Liability**

11. By entering this Campaign, participant agree to release, discharge, and hold harmless of PNPL and its partners, affiliates, subsidiaries, advertising agencies, agents and their employees, officers, directors, and representatives from any claims, losses, and damages arising out of their participation in this Campaign or any Campaign-related activities and the acceptance and use, misuse, or possession of any reward hereunder.
12. Participant agree to indemnify PNPL against any losses, claims, demands, liabilities, costs and expenses for personal injury or death, loss, damage to property, breach of any obligations, warranty or representation, intellectual property infringement claims, fines and penalties, which may be imposed on or which PNPL may suffer or incur or which may be made, instituted or asserted against PNPL arising out of or by reason of negligent acts, omissions, fraud, wilful misconduct, or a breach of obligations, covenants, representations or warranties by PNPL in connection with this Campaign.
13. PNPL shall not assume any liability for any loss incurred or suffered by any person in connection with the Campaign, howsoever arising, including without limitation, any error in computing trades and trade commissions, and any subsequent changes to commission prices. Trading in financial instruments carries various risks and is not suitable for all investors. It is possible to lose more than your initial investment.

#### **Miscellaneous**

14. No content on this Campaign or its advertisement shall be considered an offer or solicitation for the purchase or sale of any futures, financial or investment products. All information and data, if any, are for reference only and past performance should not be viewed as an indicator of future results. No content on this Campaign shall be considered as an opinion or recommendation.
15. Unless otherwise permitted by PNPL, Eligible Client may not be eligible to participate in any other ongoing similar campaign organised by PNPL.
16. PNPL has sole discretion to determine cases of suspected abuse, fraud, or breach of the Terms or intent of the Terms. PNPL shall have the final decision and not obliged to provide reasons for the same.
17. PNPL reserves all rights to revise, alter or delete any Terms in the Campaign at any time without prior notice to Eligible Client; including but not limited to, and the right to postpone, temporarily halt, or terminate this Campaign, or adjust the Welcome Gift, type and distribution of this Campaign, at any time and in its sole and absolute discretion. All Eligible Client shall be bound by such variations, amendments, deletions and/or additions. PNPL shall not be obliged to give any reason or enter into any correspondence with any person on any matter concerning this Campaign.
18. The Terms should be read in conjunction with the terms and conditions stipulated in Customer Trading Agreement, Product Disclosures, and Risk Warnings available at the website. In the event of any inconsistency between these Terms and any advertising, promotional, publicity and other materials relating to or in connection with the Campaign, these Terms herein shall prevail. PNPL has the right to the final interpretation of these Terms. In the event of any dispute, the decision of PNPL shall be final and binding and no further correspondence will be entertained.
19. A person who is not a Participant governed by these Terms shall have no rights under Contracts (Rights of Third Parties) Act 2001 of Singapore to enforce any Terms of this Campaign.
20. This Campaign and the Terms shall be governed by Laws of Singapore and each Participant agrees to submit to the

exclusive jurisdiction of the courts of the Republic of Singapore.





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