

Trade SGX Micro Futures & Join Our Lucky Dip Promotion

For Phillip Securities Trading Representatives

TERMS AND CONDITIONS

Phillip Nova Pte. Ltd. (“**PNPL**”) is conducting a Sure Win Lucky Dip (“**Campaign**”) for Phillip Securities Trading Representatives and Financial Advisor Representatives (TR/FA) where participants will stand a chance to a Sure Win Lucky Dip draw to win cash prizes. For full details, please refer to the respective sections below. By participating in the Campaign, you agree to be bound by the Terms and Conditions (“**Terms**”) and any such variation in which PNPL, in its sole discretion, will continue to advise on its website. PNPL is regulated by the Monetary Authority of Singapore.

Campaign Period

1. PNPL will commence the Campaign from **17th August 2026 to 30th September 2026** (both dates inclusive), or such other period(s) as may be determined by PNPL from time to time.

Winner	Prize
2 x	Takashimaya Vouchers of SGD500
5 x	NTUC Vouchers of SGD100
10 x	Toast Box Vouchers of SGD20
20 x	Toast Box Vouchers of SGD10
50 x	Toast Box Vouchers of SGD5

Eligibility Criteria

To qualify for the Sure - Win Lucky Dip, participants must fulfil all the following conditions:

Step	Requirements for Eligible TR/FA
1.	Participant must be a Phillip Securities Pte Ltd (PSPL) licensed Trading Remisier/Financial Advisor with a valid live individual trading account with PNPL (“Trading Account”) AND is not listed as a Non-Eligible TR/FA (refer to Clause 1 below). For new Eligible TR/FA, kindly complete the account opening process via this link here.
2.	The Eligible TR/FA must trade any 1 lot of the following 3 contracts, Straits Times Index Futures (ST), Micro FTSE Taiwan Index Futures (MTWN) or Micro Nikkei Futures (NS) and get a chance for a Sure Win Lucky Dip. Each contract traded will give you 1 chance to get the lucky dip. Therefore, each account can have up to a maximum of 3 chances. A total of 87 draws will be made available on a first come first served basis. Campaign will end either on the date stated under Campaign Period above or when all 87 draws are completed.

Only participants who have completed all the two (2) steps as outlined above within the promotional period shall be deemed eligible for the Lucky Draw.

Phillip Nova reserves the right to verify participants' compliance with the above requirements. Entries found to be incomplete, inaccurate, or submitted outside of the promotional period shall be deemed invalid.

1. PNPL reserves the right to refuse to grant prizes to those who are categorised as **Non-Eligible** TR/FA and not eligible to participate in the **Campaign** as follows:
 - a) Any unlicensed Trading Representatives and Financial Advisor Representatives.

Highest Volume Bonus prize

1. There will be a 2x bonus prizes of SGD800 each for top 2 Trading Representatives/Financial Advisor Representatives who accumulate the highest, total traded volume in either of the 3 contracts during the promotional period.
2. Winners will be informed by email and SMS by 5th October.
3. Prizes of cash SGD800 will be credited to the winners' trading account by 9th October.

Example:

Trader	Total traded volume for STI Futures (ST)	Total traded volume for Micro Taiwan (MTWN)	Total traded volume for Micro Nikkei (NS) Futures	Total Qualified Volume
A	30	30	30	90
B	0	0	85	85
C	40	0	40	80

Trader A & B will receive the bonus prize of SGD800 each on total qualified traded volume

Notification And Prize Fulfilment

1. Qualified participants will be contacted via email and SMS. The draw will require participants to visit Phillip Nova Office at Raffles City Tower #07 - 01 during office hours 0900 – 1800, Mondays to Fridays to conduct the draw for the lucky dip prizes. As prizes are on a first come, first served basis, participants are advised to head to the Phillip Nova office during office hours for the draw as soon as you receive the qualification email or text to avoid disappointment on missing out on the top prizes.
2. PNPL reserves all rights to disqualify any incomplete submissions or submissions that did not abide by the Terms herein. PNPL further reserves the right to disqualify the participation in this Campaign if any participant: (a) has cheated or committed fraud on PNPL and/or this Campaign; (b) tampered or attempted to tamper with the participation process/operation of this Campaign; (c) manipulated or attempted to manipulate the operation of this Campaign; (d) conduct is in breach of the Terms including providing false information (such as fake accounts, personas or photos) or deliberately withholding information; or (e) has been blacklisted from previous participation for whatsoever reason.
3. If any Eligible TR/FA is subsequently found to be ineligible for the Campaign for any reason, PNPL is entitled to at any time, (a) forfeit, withdraw or withhold the reward amounts; or (b) reclaim, clawback or deduct the reward amount from the trading account if it has been rewarded or utilised. In such an event, no person shall be entitled to any payment or compensation from PNPL.
4. All decisions made by PNPL regarding any aspect of the Campaign, shall be final.

Prize Redemption

5. Eligibility & Redemption

- (a) The prize redemption is available to Eligible TR/FAs who have fulfilled the eligibility criteria set by Phillip Nova Pte Ltd (PNPL).

6. Fraud & Misuse

- (a) Any attempt to duplicate, resell, or misuse the prize will result in immediate disqualification from the redemption program. PNPL reserves the right to take legal action where necessary.
- (b) In case of any suspected fraudulent activity, PNPL may suspend or cancel the prize without prior notice.

7. General Terms

- (a) PNPL reserves the right to amend, vary, or cancel these terms and conditions at its sole discretion without prior notice.
- (b) In the event of any dispute, PNPL's decision shall be final and binding.

Limitation Of Liability

8. By entering this Campaign, participants agree to release, discharge, and hold harmless of PNPL and its partners, affiliates, subsidiaries, advertising agencies, agents and their employees, officers, directors, and representatives from any claims, losses, and damages arising out of their participation in this Campaign or any Campaign-related activities and the acceptance and use, misuse, or possession of any reward hereunder.
9. Participants agree to indemnify PNPL against any losses, claims, demands, liabilities, costs and expenses for personal injury or death, loss, damage to property, breach of any obligations, warranty or representation, intellectual property infringement claims, fines and penalties, which may be imposed on or which PNPL may suffer or incur or which may be made, instituted or asserted against PNPL arising out of or by reason of negligent acts, omissions, fraud, wilful misconduct, or a breach of obligations, covenants, representations or warranties by merchants in connection with this Campaign.
10. PNPL shall not assume any liability for any loss incurred or suffered by any person in connection with the Campaign, howsoever arising, including without limitation, any error in computing trades and trade commissions, and any subsequent changes to commission prices. Trading in financial instruments carries various risks and is not suitable for all investors. It is possible to lose more than your initial investment.

Miscellaneous

11. No content on this Campaign or its advertisement shall be considered an offer or solicitation for the purchase or sale of any futures, financial or investment products. All information and data, if any, are for reference only and past performance should not be viewed as an indicator of future results. No content on this Campaign shall be considered as an opinion or recommendation.
12. PNPL has sole discretion to determine cases of suspected abuse, fraud, or breach of the Terms or intent of the Terms. PNPL shall have the final decision and not obliged to provide reasons for the same.
13. PNPL reserves all rights to revise, alter or delete any Terms in the Campaign at any time without prior notice to Eligible TR/FAs; including but not limited to, and the right to postpone, temporarily halt, or terminate this Campaign, or adjust the Campaign or Prize, type and distribution of this Campaign, at any time and in its sole and absolute discretion. All Eligible TR/FAs shall be bound by such variations, amendments, deletions and/or additions. PNPL shall not be obliged to give any reason or enter into any correspondence with any person on any matter concerning this Campaign.
14. The Terms should be read in conjunction with the terms and conditions stipulated in Customer Trading Agreement, Product Disclosures, and Risk Warnings available at the website. In the event of any inconsistency between these Terms and any advertising, promotional, publicity and other materials relating to or in connection with the Campaign, these Terms herein shall prevail. PNPL has the right to the final interpretation of these Terms. In the event of any dispute, the decision of PNPL shall be final and binding and no further correspondence will be entertained.
15. A person who is not a Participant governed by these Terms shall have no rights under Contracts (Rights of Third Parties) Act (Cap 53B) to enforce any Terms of this Campaign.

16. This Campaign and the Terms shall be governed by Laws of Singapore and each Participant agrees to submit to the exclusive jurisdiction of the courts of the Republic of Singapore.

Disclaimer

17. This promotion is provided to you for general information only and does not constitute a recommendation, an offer or solicitation to buy or sell the investment product mentioned. It does not have any regard to your specific investment objectives, financial situation or any of your particular needs. Accordingly, no warranty whatsoever is given and no liability whatsoever is accepted for any loss arising whether directly or indirectly as a result of your acting based on this information.
- Investments are subject to investment risks. The risk of loss in leveraged trading can be substantial. You may sustain losses in excess of your initial funds and may be called upon to deposit additional margin funds at short notice. If the required funds are not provided within the prescribed time, your positions may be liquidated. The resulting deficits in your account are subject to penalty charges. The value of investments denominated in foreign currencies may diminish or increase due to changes in the rates of exchange. You should also be aware of the commissions and finance costs involved in trading leveraged products. This product may not be suitable for clients whose investment objective is preservation of capital and/or whose risk tolerance is low. Clients are advised to understand the nature and risks involved in margin trading.

You may wish to obtain advice from a qualified financial adviser, pursuant to a separate engagement, before making a commitment to purchase any of the investment products mentioned herein. In the event that you choose not to obtain advice from a qualified financial adviser, you should assess and consider whether the investment product is suitable for you before proceeding to invest and we do not offer any advice in this regard unless mandated to do so by way of a separate engagement. You are advised to read the trading account Terms & Conditions and Risk Disclosure Statement (available online at www.phillipnova.com.sg/disclosure) before trading in this product.

This advertisement has not been reviewed by the Monetary Authority of Singapore.